Somerset Integrated Care System

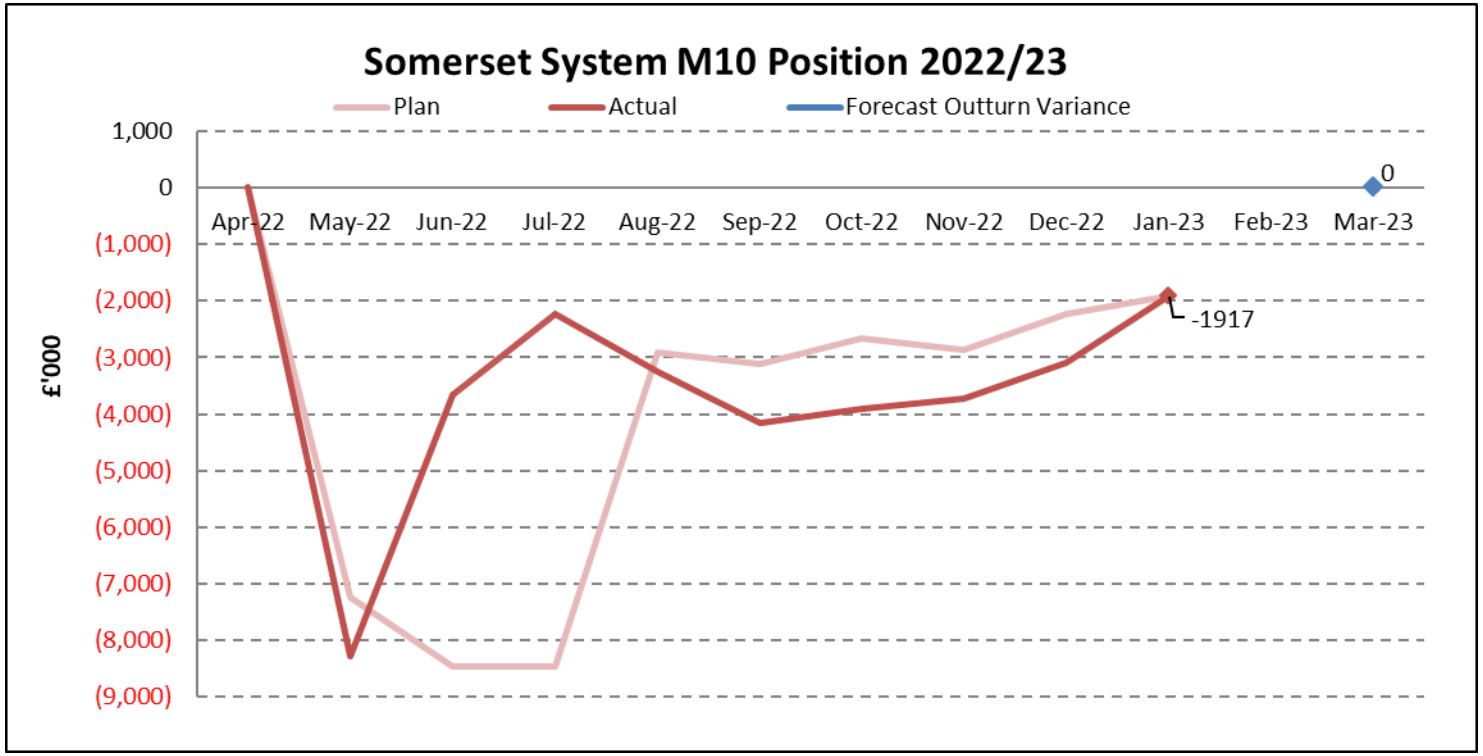
NHS Somerset - Financial Headlines
Month 10 2022/23

NHS Somerset

NHS Somerset is forecasting to achieve a balanced outturn position for the 2022/23 financial year.

As at month 10, NHS Somerset has claimed a further £5.8m in additional Covid-19 funding, relating to M1-M5 vaccination programme (£2.2m) and testing (£3.6m).

Forecasting to drawdown a further £2.0m relating to £1.4m Primary Care Additional Reimbursable Roles Scheme and £0.6m Discharge Fund.



Performance against organisation-specific and system control totals									
£000's	Month 10			Month 1-10 YTD			Forecast Outturn		
	Plan	Actual	Variance	Plan	Actual	Variance	Plan	Actual	Variance
ICB	0	0	0	0	0	0	0	0	0
Somerset FT	25	883	858	(2,067)	(2,067)	0	0	0	0
YDH FT	283	283	0	150	150	0	0	0	0
System	**308**	**1,166**	**858**	**(1,917)**	**(1,917)**	**0**	**0**	**0**	**0**

Somerset Integrated Care System

Organisational View M1-10

NHS Somerset

2022/23 Month 10 Reporting - ICS View

Somerset ICS	QSL
Month 10 (January 2023)	

No. of Providers	2

Full Year Allocation £m	1,177.2

Key Indicators

	Plan	Actual	Variance		Change
	£m	£m	£m	%	
Financial Performance					
Year to date surplus / (deficit)	(1.9)	(1.9)	0.0	0.0%	↑
Forecast surplus / (deficit)	0.0	0.0	0.0	0.0%	→
Net system (risk)/mitigations		0.0		0.0%	
No of Providers in forecast Deficit	0	0	0		
Efficiency					
Year to Date efficiency	28.7	30.8	2.1	7.4%	
Forecast efficiency	37.1	38.8	1.7	4.6%	
Recurrent forecast as % of total		62%			
Agency Controls					
Forecast spend against ceiling	22.7	42.5	(19.8)	-86.9%	
YTD agency spend as % of Ceiling		156%			
Provider Capital Controls (FOT)					
- Excluding IFR16	29.5	29.4	0.1	0.4%	
- Including IFR16	29.5	29.6	(0.1)	-0.3%	

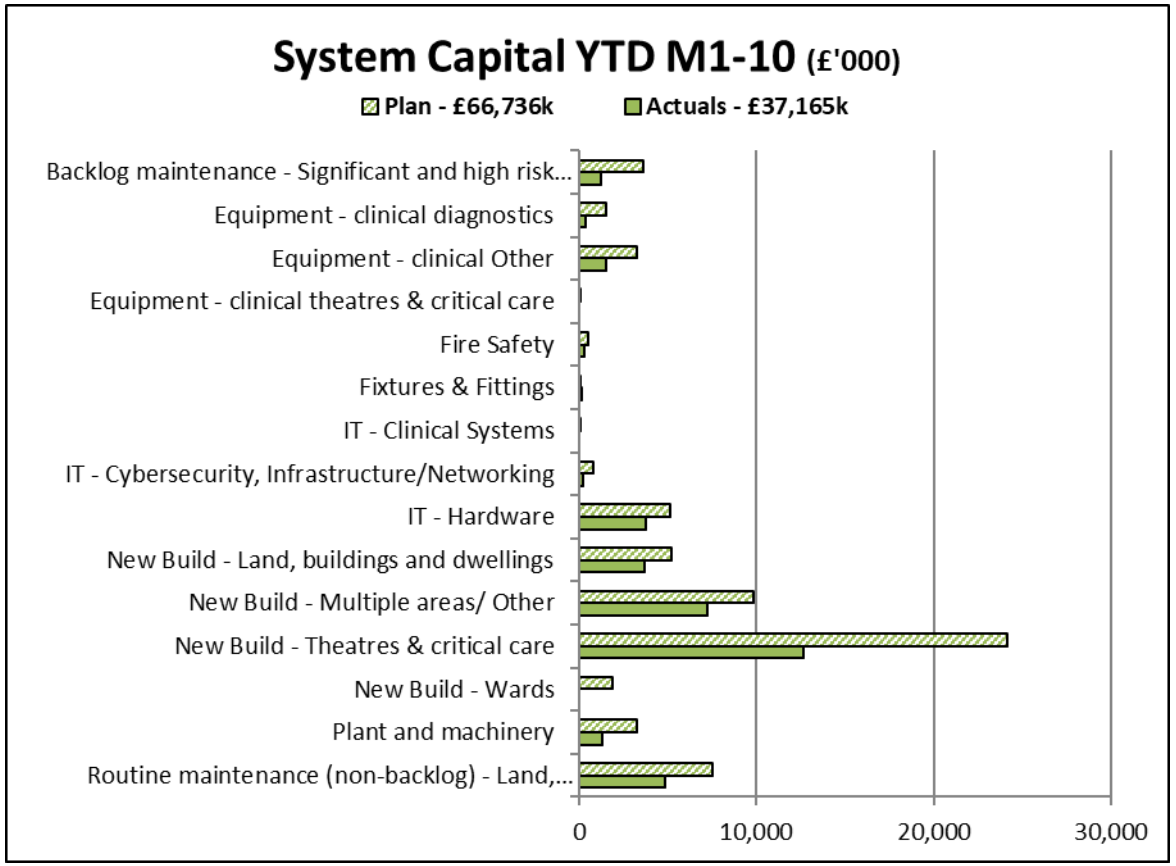
Financial Position Overview

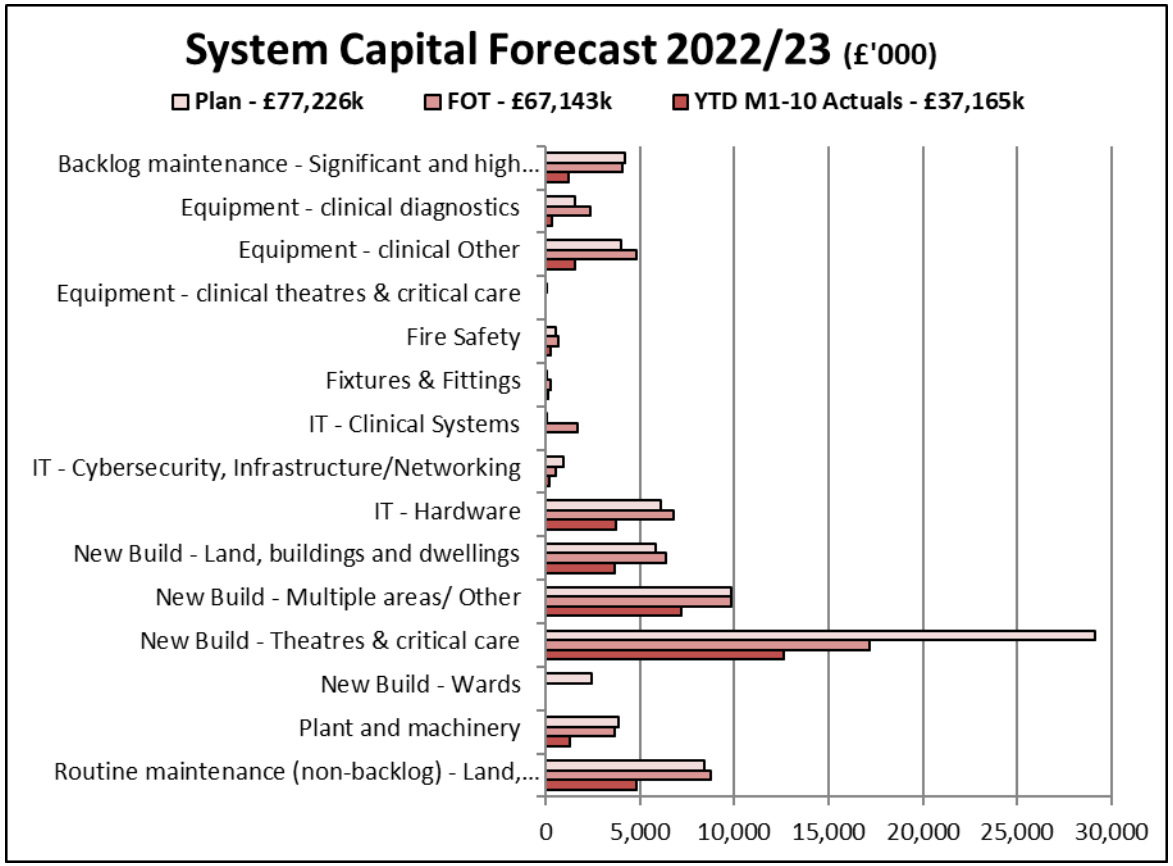
Surplus / (Deficit)	Year-to-date				Forecast Outturn			
	Plan	Actual	Under/(over) spend		Plan	FOT	Under/(over) spend	
	£m	£m	£m	%	£m	£m	£m	%
Total CCG Net Expenditure	282.3	275.1	7.2	2.5%	282.3	275.1	7.2	2.5%
CCG In-Year Allocation	275.1	275.1	0.0	0.0%	275.1	275.1	0.0	0.0%
CCG Total	**(7.2)**	**0.0**	**7.2**	**2.6%**	**(7.2)**	**0.0**	**7.2**	**2.6%**
Total ICB Net Expenditure	695.8	702.9	(7.2)	(1.0%)	894.9	902.1	(7.2)	(0.8%)
ICB In-Year Allocation	702.9	702.9	0.0	0.0%	902.1	902.1	0.0	0.0%
ICB Total	**7.2**	**0.0**	**(7.2)**	**(1.0%)**	**7.2**	**(0.0)**	**(7.2)**	**(0.8%)**
Income excluding COVID Reimbursements	728.8	778.7	49.9	6.9%	874.3	938.4	64.1	7.3%
COVID-19 Reimbursements	0.5	5.9	5.4	1,135.4%	0.5	6.5	6.0	1,273.1%
Total Income	729.2	784.6	55.3	7.6%	874.8	944.9	70.1	8.0%
Pay	(496.5)	(521.5)	(25.0)	(5.0%)	(594.0)	(625.7)	(31.6)	(5.3%)
Non Pay	(224.0)	(255.5)	(31.6)	(14.1%)	(267.9)	(308.0)	(40.1)	(15.0%)
Non Operating Items (exc gains on disposal)	(10.7)	(9.5)	1.2	11.5%	(12.8)	(11.2)	1.6	12.4%
Total Expenditure	(731.2)	(786.5)	(55.3)	(7.6%)	(874.8)	(944.9)	(70.1)	(8.0%)
NHS Providers	**(1.9)**	**(1.9)**	**0.0**	**0.0%**	**0.0**	**0.0**	**0.0**	**0.0%**
System Financial Performance	**(1.9)**	**(1.9)**	**0.0**	**0.0%**	**0.0**	**0.0**	**0.0**	**0.0%**

Somerset Integrated Care System

NHS Somerset - Capital Summary
Month 10 2022/23

NHS Somerset





Somerset Integrated Care System

Somerset ICB Finances

NHS Somerset

The ICB is forecasting a breakeven position against allocated resource for 2022/23.

The favourable £7.2m position delivered at the end of the CCG at month 3 was carried forward into the Somerset ICB by an allocation adjustment.

At month 10, the ICB is forecasted to fully utilising the carried forward allocation from the CCG £7.2m.

Forecasting to drawdown a further £2.0m relating to £1.4m Primary Care Additional Reimbursable Roles Scheme and £0.6m Discharge Fund.

	Year to Date (M1-M10)				Forecast Outturn			
	Plan £m	Actual £m	Variance £m	Variance %	Plan £m	Forecast £m	Variance £m	Variance %
M4-12 Somerset ICB								
System Revenue Resource Limit	**(702.9)**				**(900.1)**			
Acute Services	362.4	363.3	(0.9)	(0.3%)	466.4	467.5	(1.1)	(0.2%)
Mental Health Services	58.8	59.1	(0.3)	(0.6%)	75.5	75.9	(0.4)	(0.5%)
Community Health Services	74.3	72.2	2.1	2.8%	95.2	92.7	2.5	2.7%
Continuing Care Services	32.3	28.8	3.5	10.8%	41.5	37.3	4.2	10.1%
Primary Care Services	70.4	72.4	(2.1)	(2.9%)	90.1	92.5	(2.5)	(2.7%)
Other Commissioned Services	33.8	37.2	(3.4)	(10.0%)	43.3	47.4	(4.1)	(9.3%)
Other Programme Services	1.0	1.0	0.0	0.0%	1.3	1.3	0.0	0.0%
Reserves / Contingencies	(0.6)	3.5	(4.1)	662.7%	(2.1)	2.4	(4.4)	212.7%
Delegated Primary Care Commissioning	56.8	59.1	(2.4)	(4.2%)	73.2	77.0	(3.8)	(5.2%)
ICB Running Costs	6.5	6.2	0.3	4.8%	8.4	8.0	0.4	4.2%
Total Net Expenditure	**695.7**	**702.9**	**(7.2)**	**(1.0%)**	**892.9**	**902.1**	**(9.2)**	**(1.0%)**
Discharge Fund Reimbursement		**0.0**				**0.6**		
ARRS		**0.0**				**1.4**		
TOTAL Somerset ICB Surplus/(Deficit)	**7.2**	**0.0**	**(7.2)**	**(1.0%)**	**7.2**	**0.0**	**(7.2)**	**(0.8%)**
M1-3 Somerset CCG								
Revenue Resource Limit	**(275.1)**				**(275.1)**			
Total Net Expenditure	282.3	275.1	7.2	(2.6%)	282.3	275.1	7.2	(2.6%)
M1-3 Somerset CCG Surplus/(Deficit)	**(7.2)**	**0.0**	**7.2**	**(2.6%)**	**(7.2)**	**0.0**	**7.2**	**(2.6%)**
TOTAL Somerset ICB / CCG Surplus/(Deficit)	**0.0**	**0.0**	**0.0**	**0.0%**	**0.0**	**0.0**	**0.0**	**0.0%**

Somerset Integrated Care System

YDH FT Finances

NHS Somerset

YDH FT is forecasting a breakeven position against allocated resource for 2022/23.

YDH FT has claimed a further £1.2m in additional Covid-19 funding, relating to testing to date.

Financial Position Overview

	Year-to-date				Forecast Outturn			
	Plan	Actual	Under/(over) spend		Plan	FOT	Under/(over) spend	
	£m	£m	£m	%	£m	£m	£m	%
Patient Care Income from CCGs & NHSE	160.0	161.1	1.2	0.7%	191.9	193.2	1.2	0.6%
Other Patient Care Income	23.4	28.1	4.7	20.3%	27.8	33.8	6.0	21.7%
Other Operating Income excluding top-up	16.8	19.0	2.1	12.7%	20.2	22.5	2.3	11.4%
Total Income excluding reimbursements	**200.1**	**208.2**	**8.0**	**4.0%**	**239.9**	**249.5**	**9.5**	**4.0%**
COVID Reimbursement (Validated)	0.0	0.9	0.9		0.0	0.9	0.9	
COVID Reimbursement (Unvalidated)	0.5	0.3	(0.1)	(27.2%)	0.5	0.5	0.0	7.8%
COVID Reimbursement (Other)	0.0	(0.0)	(0.0)		0.0	0.0	0.0	
Total Income including reimbursements	**200.6**	**209.4**	**8.8**	**4.4%**	**240.4**	**250.8**	**10.4**	**4.3%**
Agency	(5.3)	(11.9)	(6.6)	(123.9%)	(6.3)	(14.3)	(7.9)	(125.0%)
Pay excluding Agency	(126.4)	(125.6)	0.8	0.6%	(151.8)	(151.3)	0.5	0.3%
Total Pay	(131.7)	(137.5)	(5.8)	(4.4%)	(158.1)	(165.5)	(7.4)	(4.7%)
Non Pay	(65.8)	(69.3)	(3.4)	(5.2%)	(78.7)	(82.2)	(3.5)	(4.4%)
Other non operating items	(3.0)	(2.5)	0.4	15.1%	(3.5)	(3.1)	0.5	13.4%
Total expenditure	**(200.5)**	**(209.2)**	**(8.8)**	**(4.4%)**	**(240.4)**	**(250.8)**	**(10.4)**	**(4.3%)**
Adjusted Financial Performance	**0.2**	**0.2**	**0.0**	**0.0%**	**(0.0)**	**0.0**	**0.0**	**0.0%**
System Performance Adjustments	0.0	0.0	0.0		0.0	0.0	0.0	
System Performance Measure	**0.2**	**0.2**	**0.0**	**0.0%**	**(0.0)**	**0.0**	**0.0**	**0.0%**

Somerset Integrated Care System

Somerset FT Finances

NHS Somerset

Somerset FT is forecasting a breakeven position against allocated resource for 2022/23.

SFT have claimed a further £4.7m in additional Covid-19 funding, relating to vaccination programme M1-M5 (£2.2m) and testing (£2.4m).

Financial Position Overview

	Year-to-date				Forecast Outturn			
	Plan	Actual	Under/(over) spend		Plan	FOT	Under/(over) spend	
	£m	£m	£m	%	£m	£m	£m	%
Patient Care Income from CCGs & NHSE	481.9	494.1	12.2	2.5%	578.2	600.9	22.7	3.9%
Other Patient Care Income	19.1	34.9	15.8	83.2%	22.7	38.4	15.7	69.3%
Other Operating Income excluding top-up	27.7	41.6	13.9	50.0%	33.5	49.6	16.1	48.2%
Total Income excluding reimbursements	**528.6**	**570.5**	**41.9**	**7.9%**	**634.4**	**688.9**	**54.5**	**8.6%**
COVID Reimbursement (Validated)	0.0	3.0	3.0		0.0	3.0	3.0	
COVID Reimbursement (Unvalidated)	0.0	1.7	1.7		0.0	2.2	2.2	
COVID Reimbursement (Other)	0.0	0.0	0.0		0.0	0.0	0.0	
Total Income including reimbursements	**528.6**	**575.2**	**46.6**	**8.8%**	**634.4**	**694.1**	**59.7**	**9.4%**
Agency	(12.8)	(23.5)	(10.8)	(84.3%)	(16.4)	(28.2)	(11.8)	(72.2%)
Pay excluding Agency	(352.0)	(360.5)	(8.5)	(2.4%)	(419.5)	(431.9)	(12.4)	(3.0%)
Total Pay	(364.8)	(384.0)	(19.2)	(5.3%)	(435.9)	(460.1)	(24.2)	(5.6%)
Non Pay	(158.2)	(186.3)	(28.1)	(17.8%)	(189.2)	(225.8)	(36.6)	(19.3%)
Other non operating items	(7.6)	(7.0)	0.6	7.8%	(9.1)	(8.2)	0.9	10.1%
Total expenditure	**(530.5)**	**(577.3)**	**(46.8)**	**(8.8%)**	**(634.2)**	**(694.1)**	**(59.9)**	**(9.4%)**
Adjusted Financial Performance	**(1.9)**	**(2.1)**	**(0.2)**	**(0.0%)**	**0.2**	**0.0**	**(0.2)**	**(0.0%)**
System Performance Adjustments	(0.2)	0.0	0.2	100.0%	(0.2)	0.0	0.2	100.0%
System Performance Measure	**(2.1)**	**(2.1)**	**(0.0)**	**(0.0%)**	**0.0**	**0.0**	**(0.0)**	**(0.0%)**

Somerset Integrated Care System

Risks and Mitigations 2022/23

NHS Somerset

NHS Somerset Risks and Mitigations Schedule - Jan 2022/2023

	ICB	SOMERSET NHS FT	YDH NHS FT	Total	ICB	SOMERSET NHS FT	YDH NHS FT	Total	Change from previous month
Scenario analysis (Risks)/Mitigations	**£'000**	**£'000**	**£'000**	**£'000**	**£'000**	**£'000**	**£'000**	**£'000**	**£'000**
(Risks)/(Offsets to benefits):									
System Cost reduction/Productivity Stretch	(5,000)			**(5,000)**				**0**	(750)
Winter Pressures	(2,000)			**(2,000)**				**0**	(4,404)
Health Care Support Workers Rebanding						(2,700)			
Intermediate Care					(4,999)			**(4,999)**	1,115
Delivery of Provider CIPs		(3,326)	(1,100)	**(4,426)**			0	**0**	(500)
SHS							(200)	**(200)**	(400)
SSW PCN Proposal								**0**	0
Primary Care Resilience					(200)			**(200)**	(300)
GP Prescribing					(500)			**(500)**	0
GP Direct Access								**0**	(500)
Community Diagnostic Centres								**0**	(500)
Risk to plan delivery of ESRF clawback	(1,000)	0	0	**(1,000)**	0	0	0	**0**	0
Total Risks	**(8,000)**	**(3,326)**	**(1,100)**	**(12,426)**	**(5,699)**	**(2,700)**	**(200)**	**(5,899)**	(6,239)
Mitigations/benefits:									
System Contingency (D&C)	4,840			**4,840**	0			**0**	1,736
System R/NR Solution	2,160	3,326	1,100	**6,586**		2,700		**2,700**	492
NHSE Funding (CDCs)								**0**	500
Regional Contingency					1,386			**1,386**	(626)
ESRF Benefit H1					1,500		200	**1,700**	0
ESRF Benefit H2					2,700			**2,700**	0
Slippage on Investments					285			**285**	0
Slippage on Investments - MH								**0**	1,200
MIU Savings						65		**65**	0
Discharge Fund								**0**	0
Unidentified clawback mitigation	1,000	0	0	**1,000**		0		**0**	0
Total ICB Risks and Mitigations	**0**	**0**	**0**	**0**	**172**	**65**	**0**	**237**	**(2,937)**

The table compares the risks and mitigations schedule included within the 20th June planning submission, with a latest draft assessment of risks and mitigations at month 10. The change column details the change in risks and mitigations for month 10 in comparison to Dec-22. The current format does not includes any risks or mitigations from the local authority.

Somerset Integrated Care System

Agency Spend 2022/23 – M10

NHS Somerset

The system cap has been set at £22,724k, based upon 20th June plan submission. The system spent £31,859k in 2021/22, so the cap represents a 29% reduction

YTD agency spend at month 10 is £35,387k, an overspend of £17,326k against plan.

Current 2022/23 FOT for agency spend is £42,474k, an overspend of £19,750k against plan/agency cap.

2022/23 Agency Spend	Cumulative YTD Total	2022/23	Change in FOT since M9
	£000s	£000s	£000s
Plan	18,060	22,724	-
Actual / FOT	35,387	42,474	+0
Variance	**17,326**	**19,750**	**-**

***Agency costs relating to escalation total £7,094k in months 1-10.**

2022/23 Agency Spend	M1	M2	M3	M4	M5	M6	M7	M8	M9	M10	M11	M12	Total
	£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s
Plan	1,880	1,585	1,453	1,466	1,515	1,599	1,794	2,112	2,224	2,433	2,269	2,395	22,724
Actual	3,205	3,205	3,138	3,024	3,279	3,237	3,863	4,047	4,263	4,126			35,387
Variance	**1,326**	**1,621**	**1,686**	**1,558**	**1,763**	**1,638**	**2,068**	**1,935**	**2,039**	**1,693**			**17,326**

Somerset Integrated Care System

Agency Spend 2022/23 – M10

NHS Somerset

Staffing Group	Plan / Actual	M1	M2	M3	M4	M5	M6	M7	M8	M9	M10	YTD	2022/23
		£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s
Registered nursing, midwifery and health visiting staff	Plan	695	572	493	529	550	582	675	805	850	937	6,689	8,478
	Actual	1,182	1,182	979	1,001	1,076	1,235	1,280	1,414	1,419	1,653	**12,422**	**15,118**
Healthcare scientists and scientific, therapeutic and technical staff	Plan	114	90	87	88	92	100	119	141	150	167	1,148	1,467
	Actual	220	220	177	192	329	251	353	279	355	358	**2,734**	**3,319**
Support to clinical staff	Plan	134	121	113	118	120	124	134	145	149	158	1,317	1,625
	Actual	191	191	223	169	94	151	215	226	225	185	**1,870**	**2,243**
Total non-medical - Clinical staff agency	**Plan**	**943**	**783**	**694**	**735**	**762**	**807**	**927**	**1,090**	**1,150**	**1,263**	**9,154**	**11,569**
	Actual	**1,593**	**1,593**	**1,379**	**1,362**	**1,499**	**1,637**	**1,849**	**1,918**	**2,000**	**2,196**	**17,026**	**20,680**
	Variance	***651***	***810***	***685***	***627***	***737***	***830***	***922***	***829***	***850***	***933***	***7,873***	***9,110***
Consultants	Plan	643	575	576	522	534	550	574	668	692	741	6,075	7,509
	Actual	804	804	817	860	1,063	966	1,396	1,327	1,555	1,207	**10,800**	**12,836**
Career/staff grades	Plan	0	0	0	0	0	0	0	0	0	0	**0**	**0**
	Actual	61	61	40	47	8	17	(31)	2	40	(3)	**241**	**326**
Trainee grades	Plan	294	226	183	208	219	242	294	355	381	430	2,832	3,646
	Actual	670	670	792	685	573	500	561	698	573	675	**6,397**	**7,492**
Total medical and dental staff agency	**Plan**	**937**	**801**	**759**	**731**	**753**	**792**	**867**	**1,022**	**1,074**	**1,170**	**8,906**	**11,155**
	Actual	**1,535**	**1,535**	**1,649**	**1,592**	**1,644**	**1,483**	**1,926**	**2,027**	**2,168**	**1,879**	**17,438**	**20,654**
	Variance	***598***	***734***	***890***	***861***	***891***	***691***	***1,059***	***1,005***	***1,094***	***709***	***8,532***	***9,500***
Total non medical - non-clinical staff agency	**Plan**	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**
	Actual	**77**	**77**	**110**	**69**	**135**	**117**	**88**	**102**	**96**	**51**	**922**	**1,140**
	Variance	***77***	***77***	***110***	***69***	***135***	***117***	***88***	***102***	***96***	***51***	***922***	***1,140***
Total Agency	**Plan**	**1,880**	**1,585**	**1,453**	**1,466**	**1,515**	**1,599**	**1,794**	**2,112**	**2,224**	**2,433**	**18,060**	**22,724**
	Actual	**3,205**	**3,205**	**3,138**	**3,024**	**3,279**	**3,237**	**3,863**	**4,047**	**4,263**	**4,126**	**35,387**	**42,474**
	Variance	***1,326***	***1,621***	***1,686***	***1,558***	***1,763***	***1,638***	***2,068***	***1,935***	***2,039***	***1,693***	***17,326***	***19,750***

***YTD acute escalation agency costs total – Medical Consultants: £5,565k Nursing: £1,529k.**

Somerset Integrated Care System

Agency Spend by driver

NHS Somerset

Trust	Nursing Vacancies £	Medical Vacancies £	Other Staff Vacancies £	Increased Sickness £	Enhanced Care £	Escalation - Nursing £	Escalation - Medical £	Medical Rota gaps/On Call/Capacity £	Nursing/ Other staff Serv reqs/capacity £	Other £	SHS £	Total £
SFT	4,221	2,480	2,804	2,027	2,326	1,299	5,177	541	1,772	856		23,503
YDH	584	3,702	851	324	371	230	388	740	210	204	4,280	11,884
Total	4,805	6,182	3,655	2,350	2,697	1,529	5,565	1,281	1,982	1,060	4,280	35,387
% of total	14%	17%	10%	7%	8%	4%	16%	4%	6%	3%	12%	

This table provides a breakdown of agency spend (excluding NHS locums) by reason which is captured when the request is made.

Of the SHS expenditure, £3.2m (75%) is for GP locums.

Escalation is the largest single driver of agency usage. Other areas with significant agency usage incl Integrated & Urgent Care (medicine), Mental Health and Community Hospitals/OPMH where there are high levels of vacancies.

Somerset Integrated Care System

CIP / QIPP 2022/23 – M10

NHS Somerset

Recurrent / Non Recurrent of Forecasted Efficiencies at M9	ICB	Somerset FT	YDH FT	NHS Somerset	%
	(£'000s)	(£'000s)	(£'000s)	(£'000s)	
Recurrent	(16,349)	(5,947)	(1,058)	(23,354)	63%
Non Recurrent	(2,000)	(6,881)	(3,504)	(12,385)	33%
Unidentified	0	(1,353)	0	(1,353)	4%
Total	(18,349)	(14,181)	(4,562)	(37,092)	

Efficiency Forecast Status	ICB	Somerset FT	YDH FT	NHS Somerset	%
	(£'000s)	(£'000s)	(£'000s)	(£'000s)	
Fully Developed	(17,939)	(9,500)	(4,562)	(32,001)	86%
Plans in Progress	(410)	(2,448)	0	(2,858)	8%
Opportunity	0	(880)	0	(880)	2%
Unidentified	0	(1,353)	0	(1,353)	4%
Total	(18,349)	(14,181)	(4,562)	(37,092)	

Risk Status of Forecast Efficiencies	ICB	Somerset FT	YDH FT	NHS Somerset	%
	(£'000s)	(£'000s)	(£'000s)	(£'000s)	
Low	(18,349)	(11,948)	(4,562)	(34,859)	94%
Medium	0	(880)	0	(880)	2%
High	0	(1,353)	0	(1,353)	4%
Total	(18,349)	(14,181)	(4,562)	(37,092)	

Somerset Integrated Care System

Strategic Financial Plan

NHS Somerset

Year 1 Stabilise

- Achieve in year balance
- No deterioration in underlying deficit as a minimum, but aim for some reduction
- Limit as far as possible use of non-recurrent flexibility to deliver in year balance
- Use non-recurrent flexibility to prepare for future savings
- Requires action at pace to deliver early achievable savings

Year 2 Consolidate

- Achieve in year balance
- Reduction in underlying deficit
- Minimal use of non-recurrent flexibility to deliver in year balance
- Use non-recurrent flexibility to prepare for future savings
- Some longer term savings schemes begin to deliver at least part year impacts

Years 3-5 Improve

- Achieve in year balance
- Complete reduction of underlying deficit to zero
- Longer term savings begin to deliver in full
- Generate savings to meet future financial challenges and support investment in further value and quality improvements

	Assessment of Strategic Financial Plan	RAG
Year 1	Achieve in year balance	
	No deterioration in underlying deficit as a minimum, but aim for some reduction	
	Limit as far as possible use of non-recurrent flexibiity to deliver in year balance	
	Use non-recurrent flexibility to prepare for future savings	
	Requires action at pace to deliver early achievable savings	

- The system is currently assessing the exit underlying deficit from 22/23, however we expect the position to deteriorate.
- Use of N/R flexibility likely to be less than plan in 22/23
- Collaboration hub currently reviewing the effectiveness of schemes funded in 22/23. to understand if the benefits expected to be delivered have been achieved
- System currently assessing productivity opportunities for 23/24 and beyond