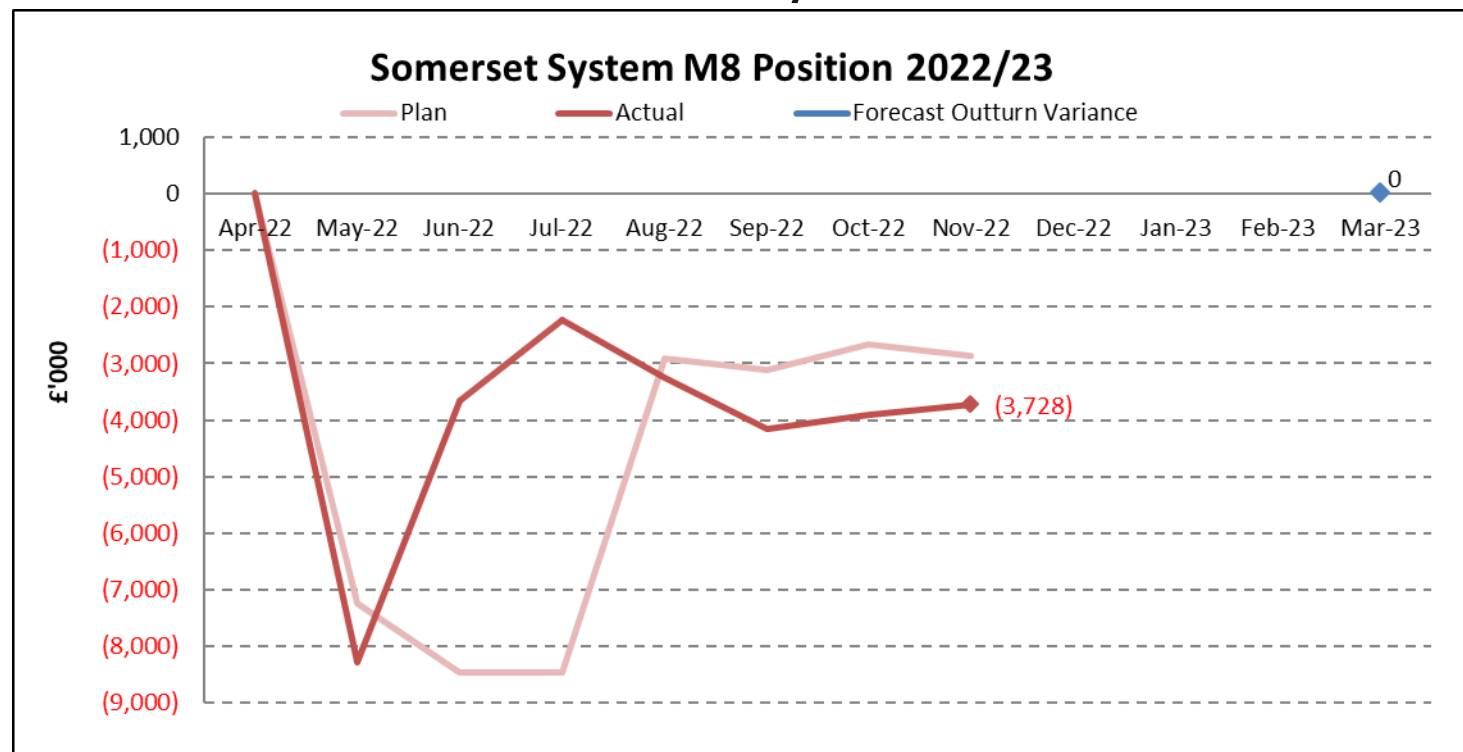


NHS Somerset is forecasting to achieve a balanced outturn position for the 2022/23 financial year.

As at month 8, NHS Somerset has an adverse year to date position of £0.9m. This relates to the year to date pressure forecasted at SFT for the cost of the extra bank holiday and GP Direct Access.

As at month 8, NHS Somerset has claimed a further £4.7m in additional Covid-19 funding, relating to M1-M5 vaccination programme (£2.2m) and testing (£2.8m).



Performance against organisation-specific and system control totals									
£000's	Month 8			Month 1-8 YTD			Forecast Outturn		
	Plan	Actual	Variance	Plan	Actual	Variance	Plan	Actual	Variance
ICB	0	0	0	0	0	0	0	0	0
Somerset FT	(19)	(60)	(41)	(2,502)	(3,361)	(859)	0	0	0
YDH FT	(184)	234	418	(367)	(367)	0	0	0	0
System	(203)	174	377	(2,869)	(3,728)	(859)	0	0	0

2022/23 Month 8 Reporting - ICS View

Somerset ICS QSL

Month 8 (November 2022)

No. of Providers 2

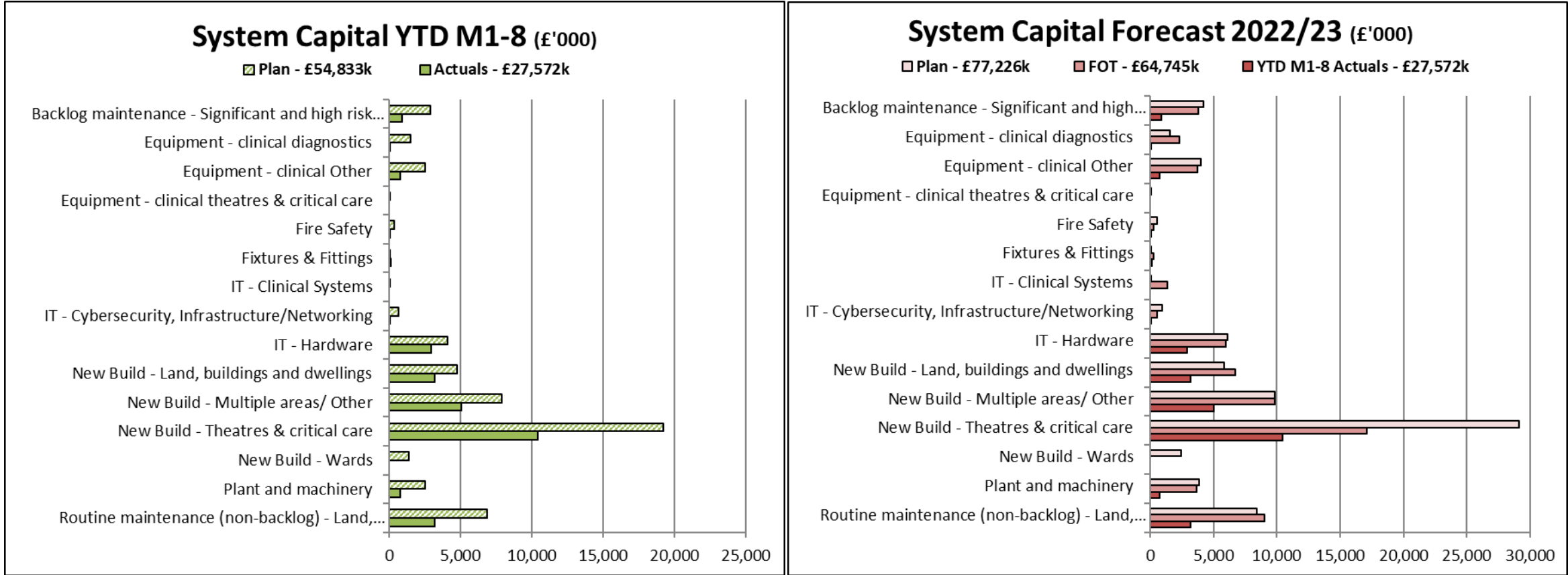
Full Year Allocation £m 1,162.2

Key Indicators

	Plan	Actual	Variance		Change
	£m	£m	£m	%	
Financial Performance					
Year to date surplus / (deficit)	(2.9)	(3.7)	(0.9)	-0.1%	↑
Forecast surplus / (deficit)	0.0	0.0	0.0	0.0%	→
Net system (risk)/mitigations		0.0		0.0%	
No of Providers in forecast Deficit	0	0	0		
Efficiency					
Year to Date efficiency	21.2	22.7	1.4	6.7%	
Forecast efficiency	37.1	37.1	0.0	0.0%	
Recurrent forecast as % of total		59%			
Agency Controls					
Forecast spend against ceiling	22.7	39.6	(16.9)	-74.5%	
YTD agency spend as % of Ceiling		119%			
Provider Capital Controls (FOT)					
- Excluding IFR16	29.5	29.4	0.1	0.4%	
- Including IFR16	29.5	29.5	(0.0)	0.0%	

Financial Position Overview

Surplus / (Deficit)	Year-to-date				Forecast Outturn			
	Plan	Actual	Under/(over) spend		Plan	FOT	Under/(over) spend	
	£m	£m	£m	%	£m	£m	£m	%
Total CCG Net Expenditure	282.3	275.1	7.2	2.5%	282.3	275.1	7.2	2.5%
CCG In-Year Allocation	275.1	275.1	0.0	0.0%	275.1	275.1	0.0	0.0%
CCG Total	(7.2)	0.0	7.2	2.6%	(7.2)	0.0	7.2	2.6%
Total ICB Net Expenditure	490.5	497.6	(7.2)	(1.5%)	880.0	887.1	(7.2)	(0.8%)
ICB In-Year Allocation	497.6	497.6	0.0	0.0%	887.1	887.1	0.0	0.0%
ICB Total	7.2	0.0	(7.2)	(1.4%)	7.2	0.0	(7.2)	(0.8%)
Income excluding COVID Reimbursements	582.3	612.3	30.0	5.2%	874.3	912.3	38.0	4.3%
COVID-19 Reimbursements	0.5	6.7	6.3	1,316.0%	0.5	9.8	9.3	1,965.7%
Total Income	582.7	619.0	36.3	6.2%	874.8	922.1	47.4	5.4%
Pay	(398.1)	(413.8)	(15.7)	(3.9%)	(594.0)	(614.0)	(20.0)	(3.4%)
Non Pay	(179.1)	(201.9)	(22.8)	(12.7%)	(267.9)	(297.3)	(29.3)	(11.0%)
Non Operating Items (exc gains on disposal)	(8.4)	(7.1)	1.3	15.9%	(12.8)	(10.8)	2.0	15.5%
Total Expenditure	(585.6)	(622.7)	(37.1)	(6.3%)	(874.8)	(922.1)	(47.4)	(5.4%)
NHS Providers	(2.9)	(3.7)	(0.9)	(0.1%)	0.0	0.0	(0.0)	(0.0%)
System Financial Performance	(2.9)	(3.7)	(0.9)	(0.1%)	0.0	0.0	0.0	0.0%



The ICB is forecasting a breakeven position against allocated resource for 2022/23.

The favourable £7.2m position delivered at the end of the CCG at month 3 was carried forward into the Somerset ICB by an allocation adjustment.

At month 7, the ICB is forecasted to fully utilising the carried forward allocation from the CCG £7.2m.

Recorded under 'Covid Reimbursement' is the Additional Reimbursable Roles Scheme funding forecasted to be claimed from NHSE above ICB's baseline allocation.

	Year to Date (M1-M8)				Forecast Outturn			
	Plan £m	Actual £m	Variance £m	Variance %	Plan £m	Forecast £m	Variance £m	Variance %
M4-12 Somerset ICB								
System Revenue Resource Limit	(497.6)				(885.3)			
Acute Services	262.8	263.6	(0.7)	(0.3%)	470.8	471.9	(1.1)	(0.2%)
Mental Health Services	42.0	42.0	(0.0)	(0.1%)	75.3	75.3	(0.0)	(0.0%)
Community Health Services	54.4	53.7	0.7	1.3%	96.2	95.1	1.0	1.1%
Continuing Care Services	23.1	21.4	1.7	7.2%	41.5	39.0	2.5	6.0%
Primary Care Services	50.3	50.4	(0.1)	(0.2%)	89.5	89.9	(0.4)	(0.4%)
Other Commissioned Services	22.9	24.4	(1.4)	(6.3%)	41.1	43.3	(2.2)	(5.3%)
Other Programme Services	0.7	0.7	0.0	0.0%	1.3	1.3	0.0	0.0%
Reserves / Contingencies	(10.4)	(5.4)	(5.1)	48.7%	(18.6)	(13.6)	(5.0)	26.9%
Delegated Primary Care Commissioning	40.3	42.7	(2.4)	(6.0%)	73.2	77.4	(4.2)	(5.8%)
ICB Running Costs	4.3	4.1	0.2	5.6%	7.9	7.5	0.4	4.5%
Total Net Expenditure	490.5	497.6	(7.2)	(1.5%)	878.1	887.1	(9.0)	(1.0%)
Covid Reimbursement		0.0				1.8		
TOTAL Somerset ICB Surplus/(Deficit)	7.2	0.0	(7.2)	(1.4%)	7.2	0.0	(7.2)	(0.8%)
M1-3 Somerset CCG								
Revenue Resource Limit	(275.1)				(275.1)			
Total Net Expenditure	282.3	275.1	7.2	(2.6%)	282.3	275.1	7.2	(2.6%)
M1-3 Somerset CCG Surplus/(Deficit)	(7.2)	0.0	7.2	(2.6%)	(7.2)	0.0	7.2	(2.6%)
TOTAL Somerset ICB / CCG Surplus/(Deficit)	0.0	0.0	0.0	0.0%	0.0	0.0	0.0	0.0%

YDH FT is forecasting a breakeven position against allocated resource for 2022/23.

YDH FT has claimed a further £1.0m in additional Covid-19 funding, relating to testing to date.

Financial Position Overview								
	Year-to-date				Forecast Outturn			
	Plan £m	Actual £m	Under/(over) spend £m %		Plan £m	FOT £m	Under/(over) spend £m %	
Patient Care Income from CCGs & NHSE	127.9	130.0	2.0	1.6%	191.9	194.7	2.8	1.4%
Other Patient Care Income	18.0	20.7	2.6	14.5%	27.8	30.0	2.3	8.2%
Other Operating Income excluding top-up	13.4	14.5	1.1	8.6%	20.2	21.7	1.5	7.3%
Total Income excluding reimbursements	159.4	165.2	5.8	3.6%	239.9	246.5	6.5	2.7%
COVID Reimbursement (Validated)	0.0	0.9	0.9		0.0	0.9	0.9	
COVID Reimbursement (Unvalidated)	0.5	0.1	(0.4)	(75.2%)	0.5	0.3	(0.1)	(31.2%)
COVID Reimbursement (Other)	0.0	0.0	0.0		0.0	(0.0)	(0.0)	
Total Income including reimbursements	159.8	166.1	6.3	3.9%	240.4	247.6	7.2	3.0%
Agency	(4.3)	(9.4)	(5.1)	(119.8%)	(6.3)	(13.2)	(6.9)	(108.4%)
Pay excluding Agency	(101.0)	(99.9)	1.1	1.1%	(151.8)	(150.1)	1.7	1.1%
Total Pay	(105.2)	(109.3)	(4.0)	(3.8%)	(158.1)	(163.3)	(5.2)	(3.3%)
Non Pay	(52.7)	(55.0)	(2.3)	(4.3%)	(78.7)	(81.0)	(2.2)	(2.8%)
Other non operating items	(2.2)	(2.2)	0.0	1.9%	(3.5)	(3.4)	0.2	5.0%
Total expenditure	(160.2)	(166.5)	(6.3)	(3.9%)	(240.4)	(247.6)	(7.2)	(3.0%)
Adjusted Financial Performance	(0.4)	(0.4)	(0.0)	(0.0%)	(0.0)	(0.0)	0.0	0.0%
System Performance Adjustments	0.0	0.0	0.0		0.0	0.0	0.0	
System Performance Measure	(0.4)	(0.4)	(0.0)	(0.0%)	(0.0)	(0.0)	0.0	0.0%

Somerset FT is forecasting a breakeven position against allocated resource for 2022/23.

As at month 8, SFT is forecasting a year to date pressure of £0.9m, in relation to the cost of the extra bank holiday and GP Direct Access.

Year to date costs for the usage of escalation beds totals £5.4m this financial year.

SFT have claimed a further £3.8m in additional Covid-19 funding, relating to vaccination programme M1-M5 (£2.2m) and testing (£1.8m).

Financial Position Overview								
	Year-to-date				Forecast Outturn			
	Plan	Actual	Under/(over) spend		Plan	FOT	Under/(over) spend	
	£m	£m	£m	%	£m	£m	£m	%
Patient Care Income from CCGs & NHSE	385.5	398.8	13.3	3.4%	578.2	595.0	16.7	2.9%
Other Patient Care Income	15.2	16.4	1.2	7.6%	22.7	21.6	(1.0)	(4.5%)
Other Operating Income excluding top-up	22.2	32.0	9.8	44.2%	33.5	49.3	15.8	47.1%
Total Income excluding reimbursements	422.9	447.1	24.2	5.7%	634.4	665.9	31.5	5.0%
COVID Reimbursement (Validated)	0.0	4.9	4.9		0.0	7.3	7.3	
COVID Reimbursement (Unvalidated)	0.0	0.9	0.9		0.0	1.3	1.3	
COVID Reimbursement (Other)	0.0	0.0	0.0		0.0	(0.0)	(0.0)	
Total Income including reimbursements	422.9	452.9	30.0	7.1%	634.4	674.5	40.1	6.3%
Agency	(9.1)	(17.6)	(8.5)	(92.8%)	(16.4)	(26.4)	(10.0)	(61.4%)
Pay excluding Agency	(283.7)	(286.9)	(3.2)	(1.1%)	(419.5)	(424.3)	(4.8)	(1.1%)
Total Pay	(292.8)	(304.5)	(11.6)	(4.0%)	(435.9)	(450.7)	(14.8)	(3.4%)
Non Pay	(126.4)	(146.9)	(20.5)	(16.2%)	(189.2)	(216.3)	(27.1)	(14.3%)
Other non operating items	(6.0)	(4.8)	1.2	19.5%	(9.1)	(7.4)	1.7	18.9%
Total expenditure	(425.2)	(456.2)	(31.0)	(7.3%)	(634.2)	(674.4)	(40.2)	(6.3%)
Adjusted Financial Performance	(2.3)	(3.3)	(1.0)	(0.2%)	0.2	0.1	(0.1)	(0.0%)
System Performance Adjustments	(0.2)	(0.1)	0.1	63.7%	(0.2)	(0.1)	0.1	45.8%
System Performance Measure	(2.5)	(3.4)	(0.9)	(0.2%)	0.0	0.0	(0.0)	(0.0%)

Risks and Mitigations 2022/23

	ICB	SOMERSET NHS FT	YDH NHS FT	Total
Scenario analysis (Risks)/Mitigations	£'000	£'000	£'000	£'000
(Risks)/(Offsets to benefits):				
System Cost reduction/Productivity Stretch	(5,000)			(5,000)
Winter Pressures	(2,000)			(2,000)
Intermediate Care				
Delivery of Provider CIPs		(3,326)	(1,100)	(4,426)
SHS				
SSW PCN Proposal				
Primary Care Resilience				
GP Prescribing				
GP Direct Access				
Community Diagnostic Centres				
Risk to plan delivery of ESRF clawback	(1,000)	0	0	(1,000)
Total Risks	(8,000)	(3,326)	(1,100)	(12,426)
Mitigations/benefits:				
System Contingency	4,840			4,840
System R/NR Solution	2,160	3,326	1,100	6,586
NHSE Funding (CDCs)				
Regional Contingency (balance to 0.25%)				
ESRF Benefit H1				
ESRF Benefit H2				
Slippage on Investments				
Slippage on Investments - MH				
MIU Savings				
System Balance Sheet				
Unidentified clawback mitigation	1,000	0	0	1,000
Total ICB Risks and Mitigations	0	0	0	0

NHS Somerset Risks and Mitigations Schedule - Nov 2022/2023

ICB	SOMERSET NHS FT	YDH NHS FT	Total	Change from previous month
£'000	£'000	£'000	£'000	£'000
(750)			(750)	0
	(3,559)	(845)	(4,404)	(1,426)
(3,884)			(3,884)	(1,629)
	(500)	0	(500)	(1,766)
		(600)	(600)	(400)
			0	(200)
(500)			(500)	0
(500)			(500)	(500)
	(500)		(500)	0
	(500)		(500)	0
0	0	0	0	0
(5,634)	(5,059)	(1,445)	(12,138)	(5,921)
1,736			1,736	506
1,373	608	1,211	3,192	4,415
	500		500	0
760			760	1,000
1,700			1,700	0
	2,700		2,700	0
	51	234	285	0
	1,200		1,200	0
65			65	0
0	0	0	0	0
	0	0	0	0
0	0	0	0	0

The table compares the risks and mitigations schedule included within the 20th June planning submission, with a latest draft assessment of risks and mitigations at month 8. The change column details the change in risks and mitigations for month 8 in comparison to Oct-22. The current format does not include any risks or mitigations from the local authority.

Agency Spend 2022/23

The system cap has been set at £22,724k, based upon 20th June plan submission. The system spent £31,859k in 2021/22, so the cap represents a 29% reduction

YTD agency spend at month 8 is £26,998k, an overspend of £13,594k against plan.

Current 2022/23 FOT for agency spend is £39,649k, an overspend of £16,925k against plan/agency cap.

2022/23 Agency Spend	Cumulative YTD Total	2022/23	Change in FOT since M7
	£000s	£000s	£000s
Plan	13,404	22,724	-
Actual / FOT	26,998	39,649	+1,274
Variance	13,594	16,925	-

***Agency costs relating to escalation total £4,828k in months 1-8. These can be treated as an acceptable variance from plan.**

2022/23 Agency Spend	M1	M2	M3	M4	M5	M6	M7	M8	M9	M10	M11	M12	Total
	£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s
Plan	1,880	1,585	1,453	1,466	1,515	1,599	1,794	2,112	2,224	2,433	2,269	2,395	22,724
Actual	3,205	3,205	3,138	3,024	3,279	3,237	3,863	4,047					26,998
Variance	1,326	1,621	1,686	1,558	1,763	1,638	2,068	1,935					13,594

Agency Spend 2022/23

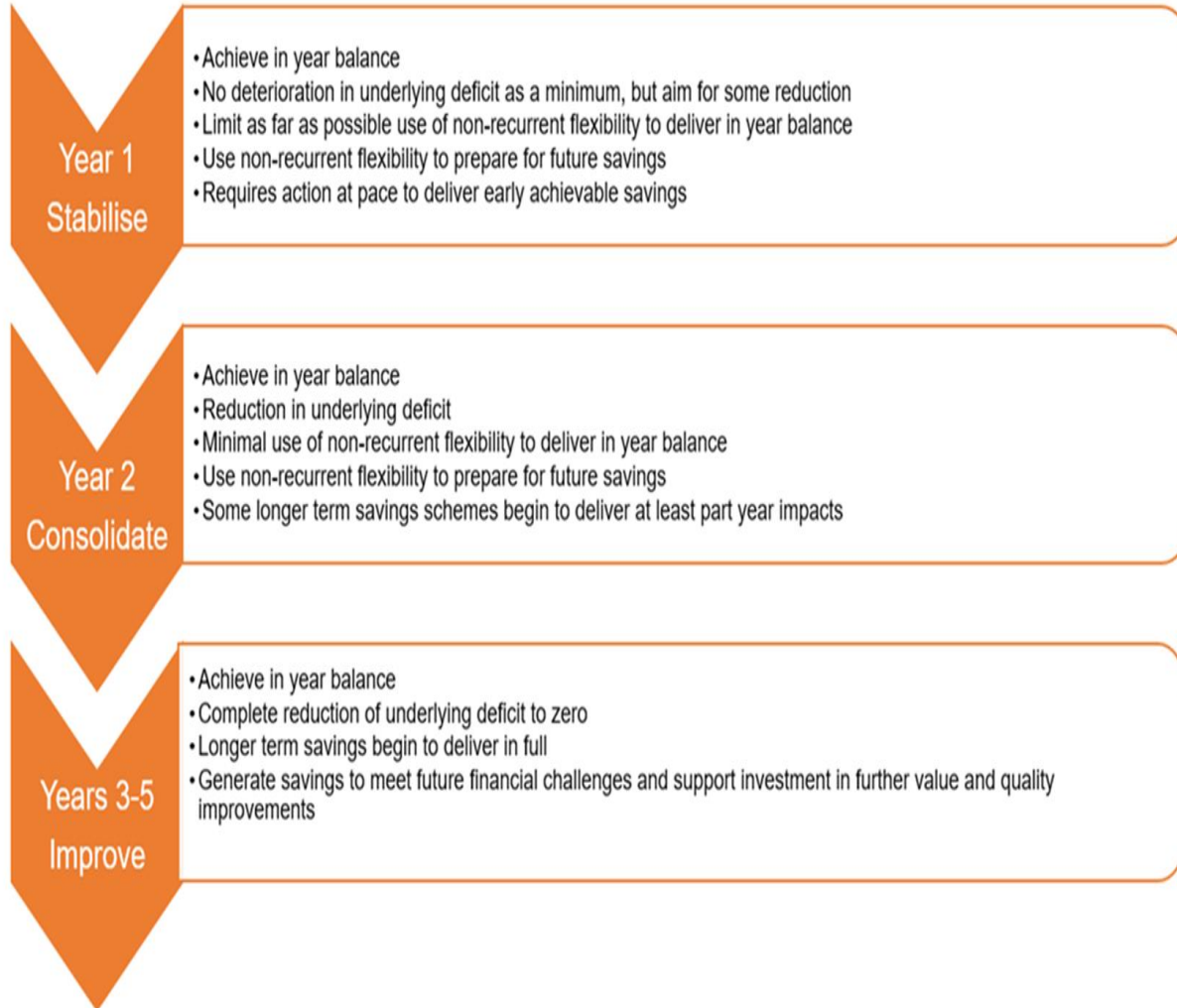
Staffing Group	Plan / Actual	M1	M2	M3	M4	M5	M6	M7	M8	YTD	2022/23
		£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s
Registered nursing, midwifery and health visiting staff	Plan	695	572	493	529	550	582	675	805	4,902	8,478
	Actual	1,182	1,182	979	1,001	1,076	1,235	1,280	1,414	9,350	13,546
Healthcare scientists and scientific, therapeutic and technical staff	Plan	114	90	87	88	92	100	119	141	831	1,467
	Actual	220	220	177	192	329	251	353	279	2,021	2,920
Support to clinical staff	Plan	134	121	113	118	120	124	134	145	1,009	1,625
	Actual	191	191	223	169	94	151	215	226	1,460	2,162
Total non-medical - Clinical staff agency	Plan	943	783	694	735	762	807	927	1,090	6,741	11,569
	Actual	1,593	1,593	1,379	1,362	1,499	1,637	1,849	1,918	12,831	18,628
	Variance	651	810	685	627	737	830	922	829	6,090	7,059
Consultants	Plan	643	575	576	522	534	550	574	668	4,641	7,509
	Actual	804	804	817	860	1,063	966	1,396	1,327	8,038	11,792
Career/staff grades	Plan	0	0	0	0	0	0	0	0	0	0
	Actual	61	61	40	47	8	17	(31)	2	204	348
Trainee grades	Plan	294	226	183	208	219	242	294	355	2,021	3,646
	Actual	670	670	792	685	573	500	561	698	5,149	7,755
Total medical and dental staff agency	Plan	937	801	759	731	753	792	867	1,022	6,662	11,155
	Actual	1,535	1,535	1,649	1,592	1,644	1,483	1,926	2,027	13,391	19,895
	Variance	598	734	890	861	891	691	1,059	1,005	6,729	8,740
Total non medical - non-clinical staff agency	Plan	0	0	0	0	0	0	0	0	0	0
	Actual	77	77	110	69	135	117	88	102	776	1,126
	Variance	77	77	110	69	135	117	88	102	776	1,126
Total Agency	Plan	1,880	1,585	1,453	1,466	1,515	1,599	1,794	2,112	13,404	22,724
	Actual	3,205	3,205	3,138	3,024	3,279	3,237	3,863	4,047	26,998	39,649
	Variance	1,326	1,621	1,686	1,558	1,763	1,638	2,068	1,935	13,594	16,925

*YTD acute escalation agency costs total – Medical Consultants: £3,934k, Nursing: £894k.

Recurrent / Non Recurrent of Forecasted Efficiencies at M8	ICB	Somerset FT	YDH FT	NHS Somerset	%
	(£'000s)	(£'000s)	(£'000s)	(£'000s)	
Recurrent	(14,849)	(5,947)	(1,067)	(21,863)	59%
Non Recurrent	(2,750)	(6,863)	(3,495)	(13,108)	35%
Unidentified	(750)	(1,371)	0	(2,121)	6%
Total	(18,349)	(14,181)	(4,562)	(37,092)	

Efficiency Forecast Status	ICB	Somerset FT	YDH FT	NHS Somerset	%
	(£'000s)	(£'000s)	(£'000s)	(£'000s)	
Fully Developed	(17,053)	(9,500)	(4,562)	(31,115)	84%
Plans in Progress	(546)	(2,400)	0	(2,946)	8%
Opportunity	0	(910)	0	(910)	2%
Unidentified	(750)	(1,371)	0	(2,121)	6%
Total	(18,349)	(14,181)	(4,562)	(37,092)	

Risk Status of Forecast Efficiencies	ICB	Somerset FT	YDH FT	NHS Somerset	%
	(£'000s)	(£'000s)	(£'000s)	(£'000s)	
Low	(17,599)	(11,900)	(4,562)	(34,061)	92%
Medium	0	(910)	0	(910)	2%
High	(750)	(1,371)	0	(2,121)	6%
Total	(18,349)	(14,181)	(4,562)	(37,092)	



	Assessment of Strategic Financial Plan	RAG
Year 1	Achieve in year balance	Green
	No deterioration in underlying deficit as a minimum, but aim for some reduction	Red
	Limit as far as possible use of non-recurrent flexibility to deliver in year balance	Green
	Use non-recurrent flexibility to prepare for future savings	Yellow
	Requires action at pace to deliver early achievable savings	Yellow

- The system is currently assessing the exit underlying deficit from 22/23, however we expect the position to deteriorate.
- Use of N/R flexibility likely to be less than plan in 22/23
- Collaboration hub currently reviewing the effectiveness of schemes funded in 22/23. to understand if the benefits expected to be delivered have been achieved
- System currently assessing productivity opportunities for 23/24 and beyond