

Title: ICB Quarterly Corporate Risk Register	Enclosure: K
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Version Number/Status:	1.0
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Summary and Purpose of Paper

This paper provides an update to the Board on risks in the ICB Corporate Risk Register (CRR) in November 2023. The Risk Register includes all risks scored at 15 and above.

The full current Risk Register (CRR) is appended to provide an overview of the current risk profile and recent movements.

Each of the risks have been reviewed in detail by risk owners / handlers and the risk team. Risks are also to be allocated to respective assurance committees for review.

Recommendations and next steps

The ICB Board are asked to review and note the Corporate Risk Register including the key movements during this period. These risks have been reviewed in detail via ICB Leadership Committee and Assurance Committees.

Impact Assessments – Key Issues Identified

Equality	N/A			
Quality	As covered by Risk action plans			
Safeguarding	N/A			
Privacy	By exception, confidential risks will only be reported through internal facing meetings			
Engagement	Not applicable			
Financial / Resource	As covered by Risk action plans			
Governance or Legal	Meets statutory obligations of the ICB in respect of good governance			
Sustainability	N/A			
Risk Description	No risk assessments identified for this report			
Risk Rating	Consequence	Likelihood	RAG Rating	GBAF Ref
	N/A	N/A	N/A	N/A

ICB Quarterly Corporate Risk Register November 2023

CORPORATE RISK REGISTER HEATMAP

Corporate Risk Register – November 2023

The ICB risk register currently holds 150 open risks in November 2023 compared to 138 open risks in July 2023.

Total of 33 Open Corporate Risks ≥ 15 , a net increase of 8 since the last reporting period.

July 2023

LIKELIHOOD	5	0	0	7	5	0
	4	0	0	0	12	0
	3	0	0	0	0	1
	2	0	0	0	0	0
	1	0	0	0	0	0
		1	2	3	4	5
CONSEQUENCE						

November 2023

LIKELIHOOD	5	0	0	13	5	0
	4	0	0	0	14	0
	3	0	0	0	0	1
	2	0	0	0	0	0
	1	0	0	0	0	0
		1	2	3	4	5
CONSEQUENCE						

CORPORATE RISKS BY SCORE

RISKS SCORED 20

ID NUMBER	TITLE	Monitoring Committee	PREVIOUS SCORE	L x C	CURRENT SCORE	MOVEMENT
38	There is a risk the GP prescribing budget will be significantly exceeded for 2023/24	Finance	20	5 x 4	20	→
143	There is a risk that Dermatology services do not meet demand and needs of patients across Somerset	Planned Care	20	5 x 4	20	Closed
222	There is a risk that the GP workforce is insufficient to meet the needs of the population	People Board	20	5 x 4	20	→
542	There is a risk of poor patient experience to those whose discharge is delayed awaiting out of hospital care	Somerset Assurance Forum	20	5 x 4	20	→
565	There is a risk that several GP Services across Somerset are unable to meet demands of the population and regulatory standards	PCCC*	20	5 x 4	20	→
570	There is a risk care home residents may need new placements due to notice of deregistration, quality and contracting concerns	Quality	9	5 x 4	20	↑

L x C – Represents Current Likelihood x Current Consequence rating

* PCCC – Primary Care Commissioning Committee

CORPORATE RISKS BY SCORE

RISKS SCORED 16						
ID NUMBER	TITLE	Monitoring Committee	PREVIOUS SCORE	L x C	CURRENT SCORE	MOVEMENT
9	There is a risk of extended waiting times and delays across the system due to increased demand across the Urgent and Emergency Care System	Somerset Assurance Forum	16	4 x 4	16	→
140	There is a risk that lack of flow within emergency departments can impact patient care and cause harm due to delays	Quality	16	4 x 4	16	→
212	There is a potential risk to patient safety due to 999 ambulance call stacking as a result of increase calls.	Quality	12	4 x 4	16	↑
255	There is a risk of delayed care of patients waiting for an ambulance, due to ambulance hospital handover times at hospitals	Somerset Assurance Forum	16	4 x 4	16	→
285	There is a risk that patients will wait longer than the access waiting time required by the specific Cancer standards	Somerset Assurance Forum	16	4 x 4	16	→
292	There is a risk that the ICS-wide workforce across Somerset is not sustainable and able to meet the demands in health and care	People Board	16	4 x 4	16	→
387	There is a risk that Symphony Healthcare Services may not be able to deliver all services in a self-sustainable way	Finance	16	4 x 4	16	→
449	There is a risk that patients will wait longer than 18 weeks from referral for non-urgent conditions as outlined in NHS constitution	Somerset Assurance Forum	16	4 x 4	16	→
452	There is a risk of malicious activity affecting Somerset ICB network infrastructure & Cyber Security	IGRMCC**	16	4 x 4	16	→
540	There is a risk of impact from Urgent Dental Care on wider Urgent and Emergency Care Services	PCCC*	16	4 x 4	16	→
544	There is a risk of improvements in the health elements of the SEND programme not being sustained	People Board	16	4 x 4	16	→
561	There is a risk of lack of adequate capacity within Somerset to enable prompt diagnosis and management of Tuberculosis cases and contacts	Quality	16	3 x 4	12	↓

L x C – Represents Current Likelihood x Current Consequence rating

• PCCC – Primary Care Commissioning Committee

** IGRMCC – Information Governance, Records Management and Caldicott Committee

CORPORATE RISKS BY SCORE

RISKS SCORED 16						
ID NUMBER	TITLE	Monitoring Committee	PREVIOUS SCORE	L x C	CURRENT SCORE	MOVEMENT
566	There is a risk that Somerset ICB is unable to deliver obligations regarding delegated pharmacy, optometry and dental services post 04/23	PCCC**	16	4 x 4	16	→
589	There is a risk that non-compliant Criteria Based Access (CBA) treatments are being undertaken without scrutiny	Planned Care	16	3 x 4	12	↓
626	There is a risk that Temporary Workforce Reliance and Spend across the Integrated Care System will be greater than planned	People Board	-	4 x 4	16	New Risk*
627	There is a risk that the requirements of the NHS Long Term Workforce Plan cannot be met	People Board	-	4 x 4	16	New Risk*

* As result of moving from draft status

L x C – Represents Current Likelihood x Current Consequence rating

** PCCC – Primary Care Commissioning Committee

CORPORATE RISKS BY SCORE

RISKS SCORED 15						
ID NUMBER	TITLE	Monitoring Committee	PREVIOUS SCORE	L x C	CURRENT SCORE	MOVEMENT
122	There is a risk that growth in high-cost placements for people with a learning disability may exceed the ICB Pooled Learning Disability Budget contributions held by Somerset Council	Finance	9	5 x 3	15	↑
318	There is a risk of Children Looked After Health services not being delivered within statutory time frames	Quality	15	5 x 3	15	→
451	There is a risk that not equipping users with the knowledge to operate safely leads to a cyber security breach	IGRMCC***	15	3 x 5	15	→
470	There is a risk that children and young people with trauma and with challenging behaviour, but no Mental Health diagnosis, are unable to access appropriate support	Mental Health, Autism and Learning Disabilities	15	5 x 3	15	→
476	There is a risk that patients dependent on prescribed opioid medication are at risk of harm due to lack of commissioned service	Quality	15	5 x 3	15	→
547	There is a risk of poor Dental Access for Children Looked After and Care Leavers	PCCC**	15	5 x 3	15	→
549	There is a risk of patient harm due to poor management of dysphagia within community settings	Quality	12	5 x 3	15	↑
559	There is a risk that children and young people with a learning disability will not have their needs assessed and met	Mental Health, Autism and Learning Disabilities	15	5 x 3	15	→
560	There is a risk that the waiting times for autism assessment will continue to grow	Mental Health, Autism and Learning Disabilities	15	5 x 3	15	→
572	There is a risk that the ICB has not fulfilled its statutory duty to implement the NICE TA guidance relating to Palforzia within 3 months	Quality	15	5 x 3	15	→
600	There is a risk of long waiting times for the Non-Emergency Patient Transport Services booking service	Finance	-	5 x 3	15	New Risk*
604	There is a risk of a lack of appropriate keyworker accommodation within the housing market for our health and care staff	People Board	-	5 x 4	15	New Risk*
605	There is a risk that wheelchair service users with complex needs are receiving a poor service	Complex Care	-	5 x 3	15	New Risk*
607	There is a risk of increasing numbers in children and young people (C/YP) presenting with medically unexplained symptoms (MUS)	Quality	-	5 x 3	15	New Risk*

L x C – Represents Current Likelihood x Current Consequence rating

** PCCC – Primary Care Commissioning Committee

*** IGRMCC – Information Governance, Records Management and Caldicott Committee

* As result of moving from draft status